#### 2011 DRAFTING REQUEST

#### **Assembly Substitute Amendment (ASA-AB177)**

Received: 09/09/2011	Received By: mglass
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Wanted: As time permits Companion to LRB:

For: Jim Steineke (608) 266-2418 By/Representing: katherine Bates

May Contact: Mike Bruhn (DNR Drafter: mglass

Subject: Nat. Res. - nav. waters Addl. Drafters:

Extra Copies:

Submit via email: YES

Requester's email: Rep.Steineke@legis.wi.gov

Carbon copy (CC:) to:

**Pre Topic:** 

No specific pre topic given

**Topic:** 

Certain general permits to be mandatory and other changes

**Instructions:** 

Permits listed in s. 30.206 (1) to be mandatory but exempt from rule making, other genral permits discretionary and exempt from rule making, both types to have (2b) and (2m) apply

**Drafting History:** 

Vers.	<u>Drafted</u>	Reviewed	<u>Typed</u>	Proofed	Submitted	<u>Jacketed</u>	Required
/? /1	mglass 09/09/2011	csicilia 09/12/2011	phenry 09/12/2011	1	sbasford 09/12/2011	sbasford 09/12/2011	

FE Sent For:

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### State of Misconsin 2011 - 2012 LEGISLATURE

LRB-zarner 1 MGGL...rs

D- N

9:00 AM

PRELIMINARY DRAFT - NOT READY FOR INTRODUCTION

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ASA to AB177



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AN ACT *to renumber and amend* 30.208 (2); *to amend* 30.18 (4) (a), 30.208 (3) (a), 30.208 (3) (b), 30.208 (3) (c), 30.208 (3) (e), 30.208 (4) (a), 30.208 (5) (a) (intro.), 30.208 (5) (b) (intro.), 30.208 (5) (b) 4., 30.208 (5) (b) 5., 30.209 (2) (a), 30.209 (2) (b), 30.209 (2) (c) and 30.209 (2) (d) (intro.); and *to create* 30.208 (2) (d), 30.208 (3) (f), 30.208 (4m) and 30.209 (2) (e) of the statutes; **relating to:** procedures for issuing individual permits, contracts, and general permits and contracts for structures, deposits, and other activities in or near navigable waters.

Analysis by the Legislative Reference Bureau

Under current law, an individual permit or contract from the Department of Natural Resources (DNR) is required to place a structure or deposit in, or withdraw water from, a navigable water or to conduct a certain activity in or near a navigable water unless the structure, deposit or activity is exempt or is authorized by a general permit.

In issuing a permit or approving a contract, DNR reviews the application, determines whether it is complete, and notifies the applicant within 30 days after receiving the application whether the application is complete (30-day review period). If the application is incomplete, the applicant may supplement the

application, but DNR may not demand items of information that are not specified in the notice as a condition for determining whether the application is complete, with limited exceptions. Current law imposes no time limits on this process for determining whether an application is complete other than the 30-day review period.

Once DNR determines the application is complete, current law imposes time limits on the notice and public hearing procedures that apply to the application. Within 15 days, DNR must provide notice to the public that the application is complete (application notice). If the applicant has requested a public hearing as part of the application, a notice of public hearing shall be included in the application notice.

If the application notice does not contain a notice of public hearing, any person may request a public hearing or DNR may decide on its own to hold a public hearing if DNR determines that there is a significant public interest in holding a hearing. The public hearing request must be submitted by the interested person, or DNR's decision to hold a public hearing must occur, within 30 days after DNR provides the application notice. Within 15 days after the public hearing request is submitted by an interested person or DNR makes its decision, DNR must give notice of the public hearing (separate notice of hearing). DNR must hold the hearing within 30 days after the notice of public hearing is either issued as part of the application notice or as an independent separate notice of hearing.

Also, DNR must provide a period to receive public comments. This period last for 30 days after the date on which DNR provides notice to the public that the application is complete or for ten days after the date that a public hearing ends, if one is held. Within 30 days after the public hearing is held or, if no public hearing is held, within 30 days of the 30–day comment period, DNR must render a decision, issuing the individual permit or contract.

Under the bill, the time limits for giving application notice and notice of public hearing are not totally dependent on the date that the application is determined by DNR to be complete. If, upon reviewing the application, DNR determines that the application is complete and that no additional information is needed, DNR shall inform the applicant of that fact within the 30–day review period. The date on which this notice is sent triggers the time limits for the public hearing process and for receiving public comments.

If DNR determines that the application is not complete, it may make only one request for additional information during the 30–day review period. There is no time limit on the applicant for providing the additional information but once it is submitted, DNR must notify the applicant within ten days as to whether the application is complete. Even if the application is not complete, the date on which this second notice is sent triggers the time limits for the public hearing process and for receiving public comments. Under the bill, the term used for such a date that triggers the time limits is a "date of closure." DNR may ask for information to supplement the one–time request for information, but such a request may not affect the date of closure.

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The bill shortens some of the time limits under the public hearing and comment process. The 30-day time period for an interested party to request a public hearing or for DNR to make a decision on its own to hold a public hearing is shortened from 30 days to 10 days and the 30-day time period for the rendering a decision is shortened from 30 days to 20 days.

Under the bill, if DNR fails to comply with 30-day and ten-day time limits relating to determining whether an application is complete, the date of closure shall be the last day of the 30-day or ten-day time period. If DNR fails to comply with the time limits relating to the public hearing and comment process, the application for the permit or contract shall be considered to have been approved.

Under rules promulgated by DNR, in an administrative hearing in which an applicant is contesting DNR's decision regarding a permit or contract, the applicant has the burden of proof. Under the bill, DNR has the burden of proof if the applicant is the person who requested the hearing. If the applicant is not the petitioner, the petitioner has the burden of proof.

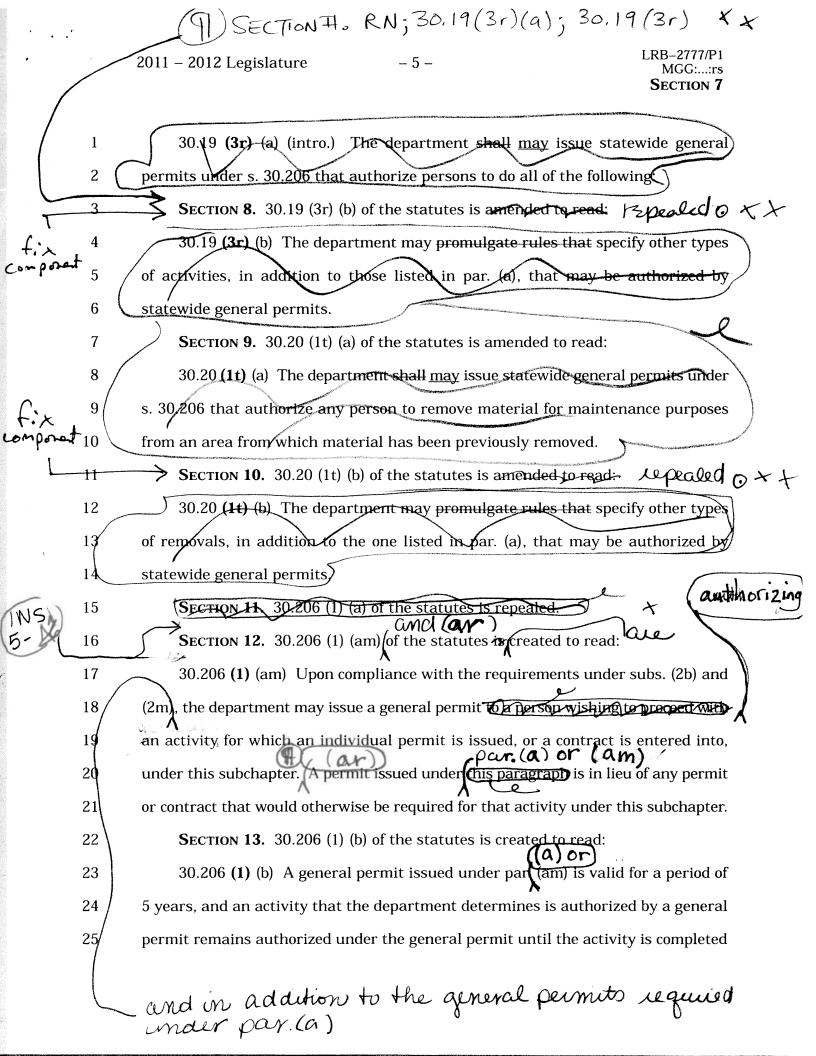
For further information see the *state* fiscal estimate, which will be printed as an appendix to this bill. \

### The people of the state of Wisconsin, represented in senate and assembly, do enact as follows:

**Section 1.** 30.12 (1j) (c) of the statutes is amended to read:

**Section 2.** 30.12 (3) (a) (intro.) of the statutes is amended to read:





1	regardless of whether the general permit expired before the activity <b>k</b> completed.
2	The department may renew or modify, or revoke a general permit issued under par.
3	(am) upon compliance with the requirements under subs. (2b) and (2m) and until
4	such renewal, modification, or revocation, the general permit shall remain in effect.
5	<b>Section 14.</b> 30.206 (1g) of the statutes is repealed.
6	<b>SECTION 15.</b> 30.206 (1m) of the statutes is repealed. $\sqrt{2}$
7	SECTION 16. 30.206 (1r) of the statutes is created to read:
8	30.206 (1r) Transitions between permits. Any general permit issued under
9 ,	this subchapter that is valid on the effective date of this subsection [LRB inserts
10	date], shall remain valid until the date upon which a general permit issued under
11	sub. (1) (am) that authorizes the same activity becomes effective.
12	SECTION 17. 30.206 (2b) of the statutes is created to read:
13	30.206 (2b) Public Notice. (a) The department shall circulate to interested
14	and potentially interested members of the public notices of its intention to issue a
15	general permit. Procedures for providing public notices shall include all of the
16	following:
17	1. A procedure consisting of when publishing a class 1 notice under ch. 985 or
18	circulating the notice by use of an electronic notification system established by the
19	department.
20	2. A procedure under which a copy of the notice is provided to any person or
21	group upon request of the person or group.
22	(b) The department shall provide a period of not less than 30 days following the
23	date of the public notice during which time interested persons may submit their
24	written views on the department's intention to issue a general permit under sub. (1)

(a) or		(a) or
	•	

(am). All written comments submitted during the period for comment shall be retained by the department and considered in the issuance of the general permit.

(c) Every notice issued by the department of the department's intention to issue a general permit under sub. (1) (am) shall include a description of the activities proposed to be authorized under the general permit.

**Section 18.** 30.206 (2m) of the statutes is created to read:

- 30.206 **(2m)** Public Hearing. (a) 1. The department shall provide an opportunity for any interested state agency or federal agency or person or group of persons to request a public hearing with respect to the department's intention to issue a general permit under sub. (1) (am). Such request for a public hearing shall be filed with the department within 30 days after the circulation of the public notice under sub. (2b) and shall indicate the interest of the party filing the request and the reasons why a hearing is warranted.
- 2. The department shall hold a public hearing upon a request under subd. 1. if the department determines that there is a significant public interest in holding such a hearing. Hearings held under this section are not contested cases under s. 227.01 (3).
- (b) Public notice of any hearing held under this subsection shall be circulated in accordance with the requirements under sub. (2b).
- **SECTION 19.** 30.208 (2) of the statutes is renumbered 30.208 (2) (a) and amended to read:
- 30.208 **(2)** (a) *Review; no additional information required.* In issuing individual permits or entering contracts under this subchapter, the department shall initially determine whether a complete application for the permit or contract has been submitted and, no later than review an application, and within 30 days after

the application is submitted, the department shall determine that either the application is complete or that additional information is needed. If the department determines that the application is complete, the department shall notify the applicant in writing about the initial determination of completeness of that fact within the 30-day period, and the date on which the notice under this paragraph is sent shall be considered the date of closure for purposes of sub. (3) (a).

(b) Additional information requested. If the department determines that the application is incomplete, the department shall notify the applicant in writing and may make only one request for additional information during the 30–day period specified in par. (a). Within 10 days after receiving all of the requested information from the applicant, the department shall notify the applicant in writing as to whether the application is complete. The date on which the 2nd notice under this paragraph is sent shall be set as the date of closure for purposes of sub. (3) (a). The department may request additional information from the applicant to supplement the application, but the department may not request items of information that are outside the scope of the original request unless the applicant and the department both agree. A request for any such additional information may not affect the date of closure.

(c) Specificity of notice; limits on information. Any notice stating that an application has been determined to be incomplete or any other request for information that is sent under par. (b) shall state the reason for the determination or request and the specific items of information necessary to make the application complete. An applicant may supplement and resubmit an application that the department has determined to be incomplete. There is no limit on the number of times that an applicant may resubmit an application that the department has

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determined to be incomplete under this section. The department may not demand items of information that are not specified in the notice as a condition for determining whether the application is complete unless both the department and the applicant agree or unless the applicant makes material additions or alterations to the activity or project for which the application has been submitted that are still needed.

The rules promulgated under s. 299.05 apply only to applications for individual permits or contracts under this subchapter that the department has determined to be completed.

Section 20. 30.208 (2) (d) of the statutes is created to read:

30.208 **(2)** (d) *Failure to meet time limits*. If the department fails to meet the 30–day time limit under par. (a) or 10–day time limit under par. (b), the application shall be considered to have a date of closure that is the last day of that 30–day or 10–day time period for purposes of sub. (3) (a).

**Section 21.** 30.208 (3) (a) of the statutes is amended to read:

30.208 (3) (a) Upon determination by the department under sub. (2), that an application submitted under sub. (1) is complete Within 15 days after the date of closure, as determined under sub. (2) (a) or (b), the department shall provide notice of complete pending application to interested and potentially interested members of the public, as determined by the department. The department shall provide the notice within 15 days after the determination that the application is complete. If the applicant has requested a public hearing as part of the submitted application, a notice of public hearing shall be part of the notice of complete pending application.

**S**ECTION **22**. 30.208 (3) (b) of the statutes is amended to read:

30.208 **(3)** (b) If the notice of complete pending application does not contain a notice of public hearing, any person may request a public hearing in writing or the

department may decide to hold a public hearing with or without a request being
submitted if the department determines that there is a significant public interest in
holding a hearing.
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**Section 23.** 30.208 (3) (c) of the statutes is amended to read:

30.208 (3) (c) A request for a public hearing under par. (b) must be submitted to the department or the department's decision to hold a public hearing must occur within 30 15 days after the department completes providing provides the notice of complete pending application. The department shall provide notice of public hearing within 15 days after the request for public hearing is submitted or the department makes its determination decision to hold a public hearing.

**Section 24.** 30.208 (3) (e) of the statutes is amended to read:

30.208 **(3)** (e) Within 30 <u>20</u> days after the public hearing is held period for public comment under sub. (4) (b) has ended or if no public hearing is held, within 30 days of the 30-day comment period under sub. (4) (a), the department shall render a decision, issuing, denying, or modifying the permit or approving or disapproving the contract that is the subject of the application submitted under sub. (1).

**Section 25.** 30.208 (3) (f) of the statutes is created to read:

30.208 (3) (f) If the department fails to comply with the time periods under sub. (3) (e), a decision issuing the permit, modifying the permit, or approving the contract shall be considered to be rendered. The permit that is issued or is modified, or the contract that is approved, shall authorize the activity as proposed by the applicant, but the department may impose terms and conditions on the permit or contract that are consistent with the applicant's basic proposal.

**S**ECTION **26.** 30.208 (4) (a) of the statutes is amended to read:

30.208 (4) (a) The department shall provide a period for public comment after
the department has provided a notice of complete pending application under sub. (3)
(a), during which time any person may submit written comments with respect to the
application for the permit or contract. The department shall retain all of the written
comments submitted during this period and shall consider all of the comments in the
formulation of the final decision on the application. The period for public comment
shall end on the 30th day following the date on which the department completes
providing the notice of complete pending application, except as provided in par. (b).
<b>Section 27.</b> 30.208 (4m) of the statutes is created to read:
30.208 (4m) Denials. If a decision is issued by the department under this
section that denies a permit or a modification of a permit or disapproves a contract,
the notice of denial or disapproval shall set forth the specific reasons as to how the
applicable provisions of this subchapter were not met. The notice of denial or
disapproval may not be based on a determination that the application for the permit
or contract was incomplete.
Section 28. 30.208 (5) (a) (intro.) of the statutes is amended to read:
30.208 (5) (a) (intro.) The department shall, by rule, establish procedures for
providing notices of complete pending applications and notices of public hearings to
be provided under sub. (3), and notices of administrative hearings to be provided
under s. 30.209 (1m). The procedures shall require all of the following:
<b>Section 29.</b> 30.208 (5) (a) 1. of the statutes is amended to read:
30.208 (5) (a) 1. That the notice be published as a class 1 notice under ch. 985
or by an electronic notification system established by the department.

**S**ECTION **30**. 30.208 (5) (b) (intro.) of the statutes is amended to read:

made without a hearing.

1	30.208 (5) (b) (intro.) The department shall, by rule, prescribe the form and
2	content of notices of complete pending applications and notices of public hearings to
3	be provided under sub. (3), and notices of administrative hearings to be provided
4	under s. 30.209 (1m). Each notice shall include all of the following information:
5	<b>Section 31.</b> 30.208 (5) (b) 4. of the statutes is amended to read:
6	30.208 (5) (b) 4. For a notice of complete pending application and a notice of
7	public hearing under sub. (3), a statement of the tentative determination to issue
8	modify, or deny a permit for the activity or project described in the application.
9	<b>Section 32.</b> 30.208 (5) (b) 5. of the statutes is amended to read:
10	30.208 (5) (b) 5. For a notice of complete pending application and a notice of
11	public hearing under sub. (3), a brief description of the procedures for the
12	formulation of final determinations, including a description of the comment period
13	required under sub. (4).
14	<b>Section 33.</b> 30.209 (2) (a) of the statutes is amended to read:
15	30.209 (2) (a) An administrative hearing under this subsection section shall be
16	treated as a contested case under ch. 227.
17	<b>Section 34.</b> 30.209 (2) (b) of the statutes is amended to read:
18	30.209 (2) (b) If a stay under sub. (1m) (c) is in effect, the hearing examiner
19	shall, within 30 days after receipt of the referral under sub. (1m) (g), determine
20	whether continuation of the stay is necessary to prevent significant adverse impacts
21	or irreversible harm to the environment pending completion of the administrative
22	hearing. The hearing examiner shall make the determination based on the request
23	under sub. (1m) (c), any response from the applicant under sub. (1m) (e), and any
24	testimony at a public hearing or any public comments. The determination shall be

1	<b>SECTION 35.</b> 30.209 (2) (c) of the statutes is amended to read:
2	30.209 (2) (c) A An administrative hearing under this section shall be
3	completed within 90 days after receipt of the referral of the petition under sub. (1m)
4	(g), unless all parties agree to an extension of that period. In addition, a hearing
5	examiner may grant a one-time extension for the completion of the hearing of up to
6	60 days on the motion of any party and a showing of good cause demonstrating
7	extraordinary circumstances justifying an extension.
8	Section 36. 30.209 (2) (d) (intro.) of the statutes is amended to read:
9	30.209 (2) (d) (intro.) Notwithstanding s. 227.44 (1), the department shall
10	provide a notice of the administrative hearing at least 30 days before the date of the
11	hearing to all of the following:
12	SECTION 37. 30.209 (2) (e) of the statutes is created to read:
13	30.209 (2) (e) In an administrative hearing under this section, the petitioner
14	shall proceed first with the presentation of evidence and shall have the burden of
15	proof.
16	Section 38. 227.01 (13) (rt) of the statutes is amended to read:
17	227.01 <b>(13)</b> (rt) Is a general permit issued under s. <u>30.206 or</u> 30.2065.
18	Section 39. Initial applicability.
19	(1) The treatment of sections 30.18 (4) (a) and 30.208 (3) (a), (b), (c), (e), and (f), and (a), (4m), and (5) (a) (intro.) and (b) (intro.), 4., and 5. of the statutes, the
20	(4) (a), (4m), and (5) (a) (intro.) and (b) (intro.), 4., and 5. of the statutes, the
21	renumbering and amendment of section 30.208 (2) of the statutes, and the creation
22	of section 30.208 (2) (d) of the statutes first apply to applications for permits or
23	contracts that are submitted on the effective date of this subsection.
24	(2) The treatment of section 30.209 (2) (e) of the statutes first applies to
25	administrative hearings that are commenced on the effective date of this subsection.

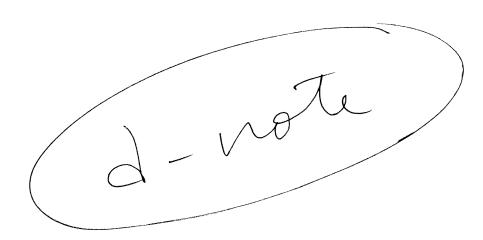
SECTION	40.	Effe	ctive	date.

2 (4) This act takes effect on first day of the 4th month beginning after

3 publication.

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4 (END)



#### 2011-2012 DRAFTING INSERT FROM THE LEGISLATIVE REFERENCE BUREAU

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1 strike X 2 **SECTION 1.** 30.206 (1) (a) of the statutes is amended to read: 3 30.206 (1) (a) The department shall issue the statewide general permits as rules promulgated under ch. 227 required under ss. 30.12 (3) (a), 30.123 (7) (a), 30.19 4 and 30.20 (1t) (a). The statewide general permits required under ss. 30.12 (3) 5 6 (a), 30.123 (7) (a), and 30.20 (1t) (a) shall be promulgated within 540 days after February 6, 2004. The department shall submit in proposed form the rule containing 7 8 the statewide general permit under s. 30.19 (3r) (a) and the rule under s. 30.19 (1d) 9 to the legislative council staff under s. 227.15 (1) no later than August 1, 2004. 10 General permits issued under s. 30.206, 2001 stats., shall remain valid until the date 11 upon which the rules issuing these statewide general permits are promulgated 12 under this paragraph. 13 **Insert 13-17** 14 **SECTION 2.** 299.05 (2) (a) of the statutes is amended to read: 15 299.05 (2) (a) Permits, contracts, and other approvals under ss. 30.10 to 30.205 16 and 30.21 to 30.27, except for individual permits and contracts to which s. 30.208 17 applies.

History: 1997 a. 27, 301; 2003 a. 118, 310.

## DRAFTER'S NOTE FROM THE LEGISLATIVE REFERENCE BUREAU

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-date-

#### Representative Steineke:

This amendment makes changes regarding the mandatory permits found listed in s. 30.206 (1) (a) of the current statutes, as you and DNR requested. It also makes the following changes which I feel are necessary:

- 1. The treatment of the cross-reference to s. 30.206 (1g) that is found in s. 30.12 (1j) (c) is changed. The treatment under Assembly Amendment 1 to Assembly Bill 177 would require DNR to always apply in the future the general permit issued under s. 30.206 (1g) as it stands on the effective date of the bill, thereby eliminating DNR's power to issue other general permits relating to the configuration of piers or wharves.
- 2. The language in s. 30.206 (1) (am) is changed because a general permit is not issued to a person. This is the item I discussed with Megan Correll, and she agrees that the language needs to be corrected.
- 3. I have struck the last sentence of s. 30.208 (2) because the date of completeness is no longer the trigger for deadlines under s. 30.208; the date of closure is, and there always is a date of closure. See proposed s. 30.208 (2) (d). Therefore, the last sentence in s. 30.208 (2) (a) that states that s. 299.05 applies only to complete applications does not work. I also needed to treat s. 299.05 (2) (a) to exempt from s. 299.05 (the permit guarantee program) individual permits and contracts that are subject to s. 30.208. These treatments result in making fee refunds under s. 299.05 inapplicable to these exempted permits contracts.

If you still want DNR to refund a fee when the deadlines are not met even though the individual permit or contract will be considered to be issued, this will need to be redrafted.

Mary Gibson–Glass Senior Legislative Attorney Phone: (608) 267–3215

# DRAFTER'S NOTE FROM THE LEGISLATIVE REFERENCE BUREAU

LRBs0175/1dn MGG:cjs:ph

September 12, 2011

#### Representative Steineke:

This amendment makes changes regarding the mandatory permits found listed in s. 30.206 (1) (a) of the current statutes, as you and DNR requested. It also makes the following changes which I feel are necessary:

- 1. The treatment of the cross-reference to s. 30.206 (1g) that is found in s. 30.12 (1j) (c) is changed. The treatment under Assembly Amendment 1 to Assembly Bill 177 would require DNR to always apply in the future the general permit issued under s. 30.206 (1g) as it stands on the effective date of the bill, thereby eliminating DNR's power to issue other general permits relating to the configuration of piers or wharves.
- 2. The language in s. 30.206 (1) (am) is changed because a general permit is not issued to a person. This is the item I discussed with Megan Correll, and she agrees that the language needs to be corrected.
- 3. I have struck the last sentence of s. 30.208 (2) because the date of completeness is no longer the trigger for deadlines under s. 30.208; the date of closure is, and there always is a date of closure. See proposed s. 30.208 (2) (d). Therefore, the last sentence in s. 30.208 (2) that states that s. 299.05 applies only to complete applications does not work. I also needed to treat s. 299.05 (2) (a) to exempt from s. 299.05 (the permit guarantee program) individual permits and contracts that are subject to s. 30.208. These treatments result in making fee refunds under s. 299.05 inapplicable to these exempted permits contracts.

If you still want DNR to refund a fee when the deadlines are not met even though the individual permit or contract will be considered to be issued, this will need to be redrafted.

Mary Gibson-Glass Senior Legislative Attorney Phone: (608) 267-3215